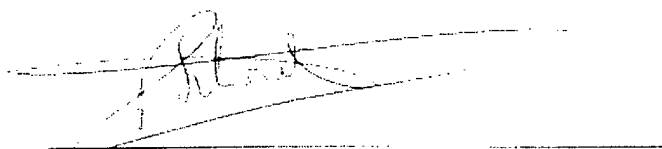


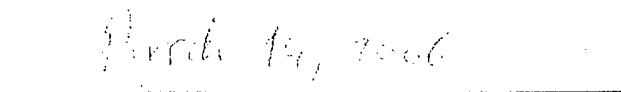
## DECLARATION

I, Patrick SILORET, residing c/o SIDEL CORPORATE, Avenue de la Patrouille de France, F-76930 OCTEVILLE SUR MER (France), do hereby certify that I am conversant with the English and French languages. I further certify that to the best of my knowledge and belief the attached English translation is a true and correct translation of application N° 99 06178 filed in France on April 29, 1999.

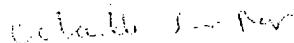
I further declare that all statements made herein of my own knowledge are true and that all statements made on information are believed to be true, and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.



Sign



Date



## **Device for the Microwave Plasma depositing of a coating on a container of thermoplastic material**

5           The invention relates to the field of processes for depositing thin layers on a container, of thermoplastic material, for example.

By way of example, the invention will find application in the field of realization of barrier-effect layers on bottles or jars made of thermoplastic materials such as polyethylene terephthalate.

10           Indeed, there is currently a search to improve the barrier properties of these containers, particularly with a view to decreasing their permeability to gas or to increase their opacity to certain radiations, such as ultraviolet, in order to increase the preservation time of the products packaged in these containers.

To that end, various processes have been proposed that seek to coat the  
15 containers with a layer of organic or inorganic material in order to improve the container's properties. One particularly favorable way to achieve this consists of low-pressure plasma coating. In such a process, a vacuum is created inside the container at the same time as a reaction fluid is injected into it under an absolute pressure preferably of less than 1 mbar. The reaction fluid varies, depending on the nature of  
20 the material to be deposited. It includes a precursor of the material to be deposited, generally in the form of a gas or a gas mixture. It can also include a carrier gas.

This reaction fluid is subjected, inside the container, to microwave-type electromagnetic radiation suitable for exciting the precursor in order to form a plasma that creates active molecules, these molecules being able to be deposited on the  
25 surface of the container with a particularly strong physical-chemical bond that guarantees the stability of the deposited material.

In particular, the use of microwave type electromagnetic radiation makes it possible to obtain deposits having a special structure that is impossible to obtain with other types of radiation, such as widely used radio frequency radiation.

30           One of the difficulties encountered in implementing these processes is in obtaining a uniformity of the deposit over the whole surface to be coated mainly in terms of thickness of the deposited layer and in terms of the composition of this layer. Obviously, this poor distribution of the deposited layer is not satisfactory.

However, the obtention of a homogeneous deposit requires in particular the  
35 use of a plasma having the greatest possible uniformity inside the container.

The purpose of the invention, therefore, is to propose a device that allows optimal propagation of the microwaves in order to ensure good homogeneity of the

deposit made inside the bottle. This device should also make it possible to obtain this homogeneity while still using processing times that are compatible with industrial usage, i.e., with relatively fast deposit speeds.

To that end, the invention proposes a device for depositing a coating on the  
5 inside wall of a container of thermoplastic material, of the type in which the deposit is accomplished by means of a plasma at low-pressure that is created inside the container by excitation of a precursor gas with microwave-type electromagnetic waves, and of the type in which the container is placed in an enclosure made of a conductive material inside of which enclosure, the microwaves are introduced by  
10 means of a coupling device, characterized in that the enclosure is a cylinder generated by rotation around a main axis of the container, in that the coupling device has a wave guide tunnel which extends in a direction appreciably perpendicular to the axis of the enclosure and which opens into one side wall thereof in the shape of a window which, in projection on a plane tangent to the enclosure, is rectangular in  
15 shape, the smaller dimension of which rectangle corresponds to its dimension along the direction of the axis of the enclosure, and in that the inside diameter of the enclosure is such that the microwaves are propagated in the enclosure primarily according to a mode in which the electrical field resulting from the propagation of the microwaves has an axial symmetry generated by rotation.

20 According to other features of the invention:

- when the microwaves are introduced into the enclosure in the absence of a container, the variation of intensity of the electrical field has two maximums on one radius of the enclosure;
  - the microwaves have a frequency of 2.45 GHz and the inside diameter of  
25 the enclosure (12) is between 213 and 217 mm;
- when the microwaves are introduced into the enclosure in the absence of a container, the variation of intensity of the electrical field has three maximums on one radius of the enclosure;
  - the microwaves have a frequency of 2.45 GHz and the inside diameter of  
30 the enclosure is between 334 and 340 mm;
- when the microwaves are introduced into the enclosure in the absence of a container, the variation of intensity of the electrical field has four maximums on one radius of the enclosure;
  - the microwaves have a frequency of 2.45 GHz and the inside diameter of  
35 the enclosure is between 455 and 465 mm;
- the wave guide tunnel has a rectangular cross section;

- the microwaves have a frequency of 2.45 GHz, and the dimensions of the cross section of the wave guide tunnel are approximately 43 mm along the direction of the axis of the enclosure and approximately 86 mm along the perpendicular direction.

5        Other characteristics and advantages of the invention will appear from the detailed description that follows, as well as in the attached drawing in which the sole figure illustrates diagrammatically, a device according to the invention.

      The device illustrated diagrammatically, in the sole figure, is a processing station 10 according to the invention. It is intended to be used to implement a low-  
10        pressure plasma process for depositing a coating on the inner face of a container made of thermoplastic material.

      By way of example, the container can be a bottle made of polyethylene terephthalate (PET) and the coating to be formed can be composed of a carbon-based material. However, the invention can be advantageously implemented for other  
15        containers and for other types of coatings, for example for coatings with a silicon oxide or aluminum oxide base. All these coatings are particularly advantageous because they make it possible to greatly decrease the permeability of a PET bottle to such gases as oxygen and carbon dioxide.

      The processing station 10 is designed to process one bottle at a time.  
20        However, this station will preferably be integrated into a rotary machine having a series of identical stations, in order to be able to process a large number of bottles in a given time.

      The station 10, therefore, is comprised of an exterior enclosure 12 made of a conductive material, for example metal. The enclosure 12 is cylindrical with axis A1,  
25        and according to the invention, its dimensions are such as to favor a particular mode of coupling a microwave type electromagnetic field.

      In fact, the station 10 is comprised of a generator 14 which is fitted to the outside of the enclosure 12 and which can deliver an electromagnetic field in the microwave range. The frequency of the microwave field delivered by the generator  
30        14 is, for example, 2.45 GHz.

      The generator 14 is mounted in a small box 13 outside the enclosure 12, and the electromagnetic radiation it delivers is led to the enclosure 12 by a tunnel-shaped wave guide 15 which extends along a radius of the cylindrical enclosure and which opens into the enclosure through a window, approximately at mid-height thereof.

35        As will be revealed in the details below, the shape and dimensions of the wave guide 15 are also suitable for allowing a favorable coupling of the microwave field in the enclosure 12.

Inside the enclosure 12 there is a tube 16, which is coaxial to the enclosure, which is essentially transparent to the microwaves, and which delimits, inside the enclosure 12, a cylindrical cavity 18 coaxial to the enclosure 12. The tube 16 is, for example, made of quartz. The cavity 18 is closed at one of its axial ends, in this instance at the lower end, by a lower transverse wall 26 of the enclosure 12. The upper end of the cavity 18, however, is open to allow a bottle to be inserted inside the cavity in which it will undergo processing. The bottle is placed essentially coaxial to the enclosure 12 and to the cavity 18.

A cover 20 is intended to close and seal the upper end of the cavity 18 in such a way that a vacuum can be applied thereto. In order to allow the container 24 to be inserted in the cavity 18, the cover 20 is axially movable.

Means 22 are provided on the cover 20 to hold the container 24 by the neck, as well as means to create different levels of vacuum in the cavity 18. Thus, inside the container 24, a vacuum corresponding to an absolute pressure of approximately 0.1 mbar is created in the container 24, and on the outside of the bottle, a vacuum is created corresponding to an absolute pressure of approximately 50 mbar. The vacuum created around the container 24 prevents it from being subjected to an excessive pressure differential that could cause the deformation of the container. However, this vacuum is not strong enough to allow the formation of a plasma, so that the energy provided by the microwaves is not dispersed to the outside of the bottle where a deposit is not desired. Another mode of operation is to create, around the container 24, a sufficiently low vacuum, for example below 0.01 mbar, so that there is no plasma excitation there. This mode of operation is technically less advantageous because it requires more time to achieve this low level of pressure.

Of course, the cover 20 also comprises means to inject into the container 24 a reaction fluid that contains at least one precursor for the material that is to be deposited on the inner wall of the container. It will be noted that the treatment of the container 24 can also include the implementation of processes in addition to the depositing process. Thus, it is contemplable to carry out a first process of preparing the inside surface of the container prior to making the deposit, or to carry out a process subsequent to the deposit.

The device also comprises ring-shaped plates 28, 30 with axis A1 that are arranged in the enclosure 12, around the quartz tube 16. The two plates 28, 30 are axially offset with respect to each other, so that they are axially arranged on either side of the window, through which the wave guide 15 opens into the enclosure 12. However, their respective axial positions can vary, depending on the shape of the container 24 to be processed. In effect, the plates 28, 30, which are made of

electrically conductive material, are intended to form short circuits for the electromagnetic field introduced into the enclosure 12, so as to axially confine the field in order to have a maximum intensity at the level of the effective treatment area. The plates 28, 30 are therefore borne by axially sliding rods 32, 34, thus allowing fast and easy adjustment of the axial position of the plates.

According to the invention, the proposed device should make it possible to obtain, inside the container, a plasma having the highest possible homogeneity. To do this, the intensity of the electromagnetic field must be distributed as uniformly as possible, and in particular, the intensity of the field at one point of the enclosure should be essentially independent of the axial position of the point in question, but also essentially independent of the angular position of this point around the axis A1.

To that end, it has been determined that the best results have been obtained with the processing station as defined below.

The wave guide 15, which, as was seen, extends along a radius with respect to the axis A1, is radially delimited toward the exterior by a back wall 36 placed at approximately 185 mm from the axis A1. The wave guide 15 has a constant rectangular cross section, the height of which, along the direction of the axis A1, is approximately 43 mm and the width approximately 86 mm.

The generator 14 is arranged in such a way that its antenna 38, which penetrates into the wave guide 15 through an opening made in a lower wall of the wave guide, is situated radially with respect to the back wall 36 at the predetermined distance recommended by the manufacturer of the generator.

However, to obtain an optimum distribution of the intensity of the electromagnetic field, it was found that the determining factor is the inside diameter of the enclosure 12.

Indeed, with the use of a 2.45 GHz microwave generator, particularly convincing results were obtained in the following three cases:

- the inside diameter of the enclosure is between 213 and 217 mm, in which case, in the absence of a container and vacuum in the cavity, the variation of intensity of the electrical field has two maximums on one radius of the enclosure;

- the inside diameter of the enclosure is between 334 and 340 mm, in which case, in the absence of a container and vacuum in the cavity, the variation of intensity of the electrical field has three maximums on one radius of the enclosure;

- the inside diameter of the enclosure is between 455 and 465 mm, in which case, in the absence of a container and vacuum in the cavity, the variation of intensity of the electrical field has four maximums on one radius of the enclosure.

These results can be demonstrated by placing sheets of thermo-sensitive paper inside the enclosure in various orientations (radial, circumferential and transversal) in order to obtain an image of the electromagnetic field predominating in the enclosure. In all three cases, it will be noted that the electromagnetic field has an axial symmetry generated in rotation around the axis A1.

In the case of an enclosure with an inside diameter of about 215 mm, a quartz tube 10 could be used, for example, that has an inside diameter of about 85 mm. With such a device, tests have made it possible to deposit, on the interior face of a bottle made of PET and a volume of 500 ml, a homogenous coating of a carbon base material with average deposition speeds of 300 to 400 angstroms per second. Thus, the processing time for obtaining an effective barrier layer is on the order of 1 to 3 seconds, which allows this device to be used on an industrial scale.

As that can be understood, the invention makes therefore it possible to produce an industrial device for applying on the internal wall of the container a deposit having all the required qualities, particularly in terms of barrier properties, in a very short time. Moreover, this device is sufficiently simple and compact to be able to be installed in a revolving machine capable of processing a large number of containers per hour.

**CLAIMS**

1. Device for depositing a coating on the inside face of a container of thermoplastic material, of the type in which the deposit is accomplished by a low-pressure plasma that is created inside the container (24), by excitation of a precursor gas with microwave type electromagnetic waves, and of the type in which the container is placed in an enclosure (12) made of a conductive material, inside of which enclosure, the microwaves are introduced by means of a coupling device,

characterized in that the enclosure (12) is a cylinder generated by rotation around a main axis (A1) of the container (24), in that the coupling device has a wave guide tunnel (15) which extends in a direction appreciably perpendicular to the axis (A1) of the enclosure and which opens into one side wall thereof in the shape of a window which, in projection on a plane tangent to the enclosure, is rectangular in shape, the smaller dimension of which rectangle corresponds to its dimension along the direction of the axis of the enclosure, and in that the inside diameter of the enclosure (12) is such that the microwaves are propagated in the enclosure primarily according to a mode in which the electrical field, resulting from the propagation of the microwaves, has an axial symmetry generated by rotation.

2. Device according to claim 1, characterized in that, when the microwaves are introduced into the enclosure (12) in the absence of a container (24), the variation of intensity of the electrical field has two maximums on one radius of the enclosure.

3. Device according to claim 2, characterized in that the microwaves have a frequency of 2.45 GHz, and in that the inside diameter of the enclosure (12) is between 213 and 217 mm.

4. Device according to claim 1, characterized in that, when the microwaves are introduced into the enclosure in the absence of a container, the variation of intensity of the electrical field has three maximums on one radius of the enclosure.

5. Device according to claim 4, characterized in that the microwaves have a frequency of 2.45 GHz and the inside diameter of the enclosure (12) is between 334 and 340 mm.



6. Device according to claim 1, characterized in that, when the microwaves are introduced into the enclosure in the absence of a container, the variation of intensity of the electrical field has four maximums on one radius of the enclosure.

5           7. Device according to claim 6, characterized in that the microwaves have a frequency of 2.45 GHz and the inside diameter of the enclosure is between 455 and 465 mm.

10           8. Device according to any of the preceding claims, characterized in that the wave guide tunnel (15) has a rectangular cross section.

15           9. Device according to claim 8, characterized in that the microwaves have a frequency of 2.45 GHz, and the dimensions of the cross section of the wave guide tunnel (15) are approximately 43 mm along the direction of the axis (A1) of the enclosure (12) and approximately 86 mm along the perpendicular direction.